BEFORE THE BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:

DIANA EMMA GARCIA 53029 Cressida Street Lake Elsinore, CA 92532

Registered Nurse License No. 677937

Respondent

Case No. 2011-845

OAH No. 2011060957

DECISION AND ORDER

The attached Stipulated Settlement and Disciplinary Order is hereby adopted by the Board of Registered Nursing, Department of Consumer Affairs, as its Decision in this matter.

This Decision shall become effective on **December 6, 2012.**

IT IS SO ORDERED November 6, 2012.

Raymond Mallel, President Board of Registered Nursing Department of Consumer Affairs

State of California

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1	KAMALA D. HARRIS			
2	Attorney General of California LINDA K. SCHNEIDER	· :		
3	Supervising Deputy Attorney General RITA M. LANE			
4	Deputy Attorney General State Bar No. 171352			
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8	BEFORE THE			
9	BOARD OF REGISTERED NURSING DEPARTMENT OF CONSUMER AFFAIRS			
10	STATE OF C	CALIFORNIA		
11	In the Matter of the Accusation Against:	Case No. 2011 845		
12	DIANA EMMA GARCIA	OAH No. 2011060957		
13	53029 Cressida Street Lake Elsinore, CA 92532	·		
14	Registered Nurse License No. RN 677937	STIPULATED SETTLEMENT AND DISCIPLINARY ORDER		
15	Respondent.			
15 16	Respondent.			
		REED by and between the parties to the above-		
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16 17	IT IS HEREBY STIPULATED AND AGI			
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was in full force and effect at all times relevant to the charges brought in Accusation No. 2011 845 and will expire on October 31, 2013, unless renewed.

JURISDICTION

- 4. Accusation No. 2011 845 was filed before the Board of Registered Nursing (Board), Department of Consumer Affairs, and is currently pending against Respondent. The Accusation and all other statutorily required documents were properly served on Respondent on April 13, 2011. Respondent timely filed her Notice of Defense contesting the Accusation.
- 5. A copy of Accusation No. 2011 845 is attached as Exhibit A and incorporated herein by reference.

ADVISEMENT AND WAIVERS

- 6. Respondent has carefully read, fully discussed with counsel, and understands the charges and allegations in Accusation No. 2011 845. Respondent has also carefully read, fully discussed with counsel, and understands the effects of this Stipulated Settlement and Disciplinary Order.
- 7. Respondent is fully aware of her legal rights in this matter, including the right to a hearing on the charges and allegations in the Accusation; the right to confront and cross-examine the witnesses against her; the right to present evidence and to testify on her own behalf; the right to the issuance of subpoenas to compel the attendance of witnesses and the production of documents; the right to reconsideration and court review of an adverse decision; and all other rights accorded by the California Administrative Procedure Act and other applicable laws.
- 8. Respondent voluntarily, knowingly, and intelligently waives and gives up each and every right set forth above.

CULPABILITY

- 9. Respondent admits the truth of each and every charge and allegation in Accusation No. 2011 845.
- 10. Respondent agrees that her Registered Nurse License is subject to discipline and she agrees to be bound by the Board's probationary terms as set forth in the Disciplinary Order below.

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CONTINGENCY

- 11. This stipulation shall be subject to approval by the Board of Registered Nursing. Respondent understands and agrees that counsel for Complainant and the staff of the Board of Registered Nursing may communicate directly with the Board regarding this stipulation and settlement, without notice to or participation by Respondent or her counsel. By signing the stipulation, Respondent understands and agrees that she may not withdraw her agreement or seek to rescind the stipulation prior to the time the Board considers and acts upon it. If the Board fails to adopt this stipulation as its Decision and Order, the Stipulated Settlement and Disciplinary Order shall be of no force or effect, except for this paragraph, it shall be inadmissible in any legal action between the parties, and the Board shall not be disqualified from further action by having considered this matter.
- 12. The parties understand and agree that facsimile copies of this Stipulated Settlement and Disciplinary Order, including facsimile signatures thereto, shall have the same force and effect as the originals.
- 13. This Stipulated Settlement and Disciplinary Order is intended by the parties to be an integrated writing representing the complete, final, and exclusive embodiment of their agreement. It supersedes any and all prior or contemporaneous agreements, understandings, discussions, negotiations, and commitments (written or oral). This Stipulated Settlement and Disciplinary Order may not be altered, amended, modified, supplemented, or otherwise changed except by a writing executed by an authorized representative of each of the parties.
- 14. In consideration of the foregoing admissions and stipulations, the parties agree that the Board may, without further notice or formal proceeding, issue and enter the following Disciplinary Order:

DISCIPLINARY ORDER

IT IS HEREBY ORDERED that Registered Nurse License No. RN 677937 issued to Respondent Diana Emma Garcia is revoked. However, the revocation is stayed and Respondent is placed on probation for four (4) years on the following terms and conditions.

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Severability Clause. Each condition of probation contained herein is a separate and distinct condition. If any condition of this Order, or any application thereof, is declared unenforceable in whole, in part, or to any extent, the remainder of this Order, and all other applications thereof, shall not be affected. Each condition of this Order shall separately be valid and enforceable to the fullest extent permitted by law.

1. **Obey All Laws.** Respondent shall obey all federal, state and local laws. A full and detailed account of any and all violations of law shall be reported by Respondent to the Board in writing within seventy-two (72) hours of occurrence. To permit monitoring of compliance with this condition, Respondent shall submit completed fingerprint forms and fingerprint fees within 45 days of the effective date of the decision, unless previously submitted as part of the licensure application process.

Criminal Court Orders: If Respondent is under criminal court orders, including probation or parole, and the order is violated, this shall be deemed a violation of these probation conditions, and may result in the filing of an accusation and/or petition to revoke probation.

2. Comply with the Board's Probation Program. Respondent shall fully comply with the conditions of the Probation Program established by the Board and cooperate with representatives of the Board in its monitoring and investigation of the Respondent's compliance with the Board's Probation Program. Respondent shall inform the Board in writing within no more than 15 days of any address change and shall at all times maintain an active, current license status with the Board, including during any period of suspension.

Upon successful completion of probation, Respondent's license shall be fully restored.

- 3. **Report in Person.** Respondent, during the period of probation, shall appear in person at interviews/meetings as directed by the Board or its designated representatives.
- 4. Residency, Practice, or Licensure Outside of State. Periods of residency or practice as a registered nurse outside of California shall not apply toward a reduction of this probation time period. Respondent's probation is tolled, if and when she resides outside of California. Respondent must provide written notice to the Board within 15 days of any change of

residency or practice outside the state, and within 30 days prior to re-establishing residency or returning to practice in this state.

Respondent shall provide a list of all states and territories where she has ever been licensed as a registered nurse, vocational nurse, or practical nurse. Respondent shall further provide information regarding the status of each license and any changes in such license status during the term of probation. Respondent shall inform the Board if she applies for or obtains a new nursing license during the term of probation.

5. Submit Written Reports. Respondent, during the period of probation, shall submit or cause to be submitted such written reports/declarations and verification of actions under penalty of perjury, as required by the Board. These reports/declarations shall contain statements relative to Respondent's compliance with all the conditions of the Board's Probation Program. Respondent shall immediately execute all release of information forms as may be required by the Board or its representatives.

Respondent shall provide a copy of this Decision to the nursing regulatory agency in every state and territory in which she has a registered nurse license.

6. **Function as a Registered Nurse.** Respondent, during the period of probation, shall engage in the practice of registered nursing in California for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

For purposes of compliance with the section, "engage in the practice of registered nursing" may include, when approved by the Board, volunteer work as a registered nurse, or work in any non-direct patient care position that requires licensure as a registered nurse.

The Board may require that advanced practice nurses engage in advanced practice nursing for a minimum of 24 hours per week for 6 consecutive months or as determined by the Board.

If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of her good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in order to

comply with this condition. During the one year extension, all original conditions of probation shall apply.

7. **Employment Approval and Reporting Requirements.** Respondent shall obtain prior approval from the Board before commencing or continuing any employment, paid or voluntary, as a registered nurse. Respondent shall cause to be submitted to the Board all performance evaluations and other employment related reports as a registered nurse upon request of the Board.

Respondent shall provide a copy of this Decision to her employer and immediate supervisors prior to commencement of any nursing or other health care related employment.

In addition to the above, Respondent shall notify the Board in writing within seventy-two (72) hours after she obtains any nursing or other health care related employment. Respondent shall notify the Board in writing within seventy-two (72) hours after she is terminated or separated, regardless of cause, from any nursing, or other health care related employment with a full explanation of the circumstances surrounding the termination or separation.

8. **Supervision.** Respondent shall obtain prior approval from the Board regarding Respondent's level of supervision and/or collaboration before commencing or continuing any employment as a registered nurse, or education and training that includes patient care.

Respondent shall practice only under the direct supervision of a registered nurse in good standing (no current discipline) with the Board of Registered Nursing, unless alternative methods of supervision and/or collaboration (e.g., with an advanced practice nurse or physician) are approved.

Respondent's level of supervision and/or collaboration may include, but is not limited to the following:

- (a) Maximum The individual providing supervision and/or collaboration is present in the patient care area or in any other work setting at all times.
- (b) Moderate The individual providing supervision and/or collaboration is in the patient care unit or in any other work setting at least half the hours Respondent works.

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- (c) Minimum The individual providing supervision and/or collaboration has person-to-person communication with Respondent at least twice during each shift worked.
- (d) Home Health Care If Respondent is approved to work in the home health care setting, the individual providing supervision and/or collaboration shall have person-to-person communication with Respondent as required by the Board each work day. Respondent shall maintain telephone or other telecommunication contact with the individual providing supervision and/or collaboration as required by the Board during each work day. The individual providing supervision and/or collaboration shall conduct, as required by the Board, periodic, on-site visits to patients' homes visited by Respondent with or without Respondent present.
- 9. **Employment Limitations.** Respondent shall not work for a nurse's registry, in any private duty position as a registered nurse, a temporary nurse placement agency, a traveling nurse, or for an in-house nursing pool.

Respondent shall not work for a licensed home health agency as a visiting nurse unless the registered nursing supervision and other protections for home visits have been approved by the Board. Respondent shall not work in any other registered nursing occupation where home visits are required.

Respondent shall not work in any health care setting as a supervisor of registered nurses. The Board may additionally restrict Respondent from supervising licensed vocational nurses and/or unlicensed assistive personnel on a case-by-case basis.

Respondent shall not work as a faculty member in an approved school of nursing or as an instructor in a Board approved continuing education program.

Respondent shall work only on a regularly assigned, identified and predetermined worksite(s) and shall not work in a float capacity.

If Respondent is working or intends to work in excess of 40 hours per week, the Board may request documentation to determine whether there should be restrictions on the hours of work.

10. Complete a Nursing Course(s). Respondent, at her own expense, shall enroll and successfully complete a course(s) relevant to the practice of registered nursing no later than six months prior to the end of her probationary term.

Respondent shall obtain prior approval from the Board before enrolling in the course(s).

Respondent shall submit to the Board the original transcripts or certificates of completion for the above required course(s). The Board shall return the original documents to Respondent after photocopying them for its records.

11. **Cost Recovery.** Respondent shall pay to the Board costs associated with its investigation and enforcement pursuant to Business and Professions Code section 125.3 in the amount of \$6,124.75. Respondent shall be permitted to pay these costs in a payment plan approved by the Board, with payments to be completed no later than three months prior to the end of the probation term.

If Respondent has not complied with this condition during the probationary term, and Respondent has presented sufficient documentation of her good faith efforts to comply with this condition, and if no other conditions have been violated, the Board, in its discretion, may grant an extension of Respondent's probation period up to one year without further hearing in order to comply with this condition. During the one year extension, all original conditions of probation will apply.

12. **Violation of Probation.** If Respondent violates the conditions of her probation, the Board after giving Respondent notice and an opportunity to be heard, may set aside the stay order and impose the stayed discipline (revocation) of Respondent's license.

If during the period of probation, an accusation or petition to revoke probation has been filed against Respondent's license or the Attorney General's Office has been requested to prepare an accusation or petition to revoke probation against Respondent's license, the probationary period shall automatically be extended and shall not expire until the accusation or petition has been acted upon by the Board.

13. **License Surrender.** During Respondent's term of probation, if she ceases practicing due to retirement, health reasons or is otherwise unable to satisfy the conditions of probation, Respondent may surrender her license to the Board. The Board reserves the right to evaluate Respondent's request and to exercise its discretion whether to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances, without further hearing.

24.

Upon formal acceptance of the tendered license and wall certificate, Respondent will no longer be subject to the conditions of probation.

Surrender of Respondent's license shall be considered a disciplinary action and shall become a part of Respondent's license history with the Board. A registered nurse whose license has been surrendered may petition the Board for reinstatement no sooner than the following minimum periods from the effective date of the disciplinary decision:

- (1) Two years for reinstatement of a license that was surrendered for any reason other than a mental or physical illness; or
 - (2) One year for a license surrendered for a mental or physical illness.
- 14. Therapy or Counseling Program. Respondent, at her expense, shall participate in an on-going counseling program until such time as the Board releases her from this requirement and only upon the recommendation of the counselor. Written progress reports from the counselor will be required at various intervals.
- 15. **Mental Health Examination.** Respondent shall, within 45 days of the effective date of this Decision, have a mental health examination including psychological testing as appropriate to determine her capability to perform the duties of a registered nurse. The examination will be performed by a psychiatrist, psychologist or other licensed mental health practitioner approved by the Board. The examining mental health practitioner will submit a written report of that assessment and recommendations to the Board. All costs are the responsibility of Respondent. Recommendations for treatment, therapy or counseling made as a result of the mental health examination will be instituted and followed by Respondent.

If Respondent is determined to be unable to practice safely as a registered nurse, the licensed mental health care practitioner making this determination shall immediately notify the Board and Respondent by telephone, and the Board shall request that the Attorney General's office prepare an accusation or petition to revoke probation. Respondent shall immediately cease practice and may not resume practice until notified by the Board. During this period of suspension, Respondent shall not engage in any practice for which a license issued by the Board is required, until the Board has notified Respondent that a mental health determination permits

ENDORSEMENT The foregoing Stipulated Settlement and Disciplinary Order is hereby respectfully submitted for consideration by the Board of Registered Nursing of the Department of Consumer

Affairs.

Dated: 5-23-12

Respectfully submitted,

KAMALA D. HARRIS Attorney General of California LINDA K. SCHNEIDER Supervising Deputy Attorney General

Deputy Attorney General Attorneys for Complainant

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Exhibit A

Accusation No. 2011 845

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1	Kamala D. Harris		
2	Attorney General of California LINDA K. SCHNEIDER		
3	Supervising Deputy Attorney General RITA M. LANE		
4	Deputy Attorney General State Bar No. 171352		
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7	Facsimile: (619) 645-2061 Attorneys for Complainant		
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9	BEFORE THE BOARD OF REGISTERED NURSING		
10	DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA		
11	- CIL		
12	In the Matter of the Accusation Against: Case No. 2011-845		
13	DIANA EMMA GARCIA 53029 Cressida Street A C C U S A T I O N		
14	Lake Elsinore, CA 92532		
15	Registered Nurse License No. RN 677937		
16	Respondent.		
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18	Complainant alleges:		
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19	PARTIES		
20	1. Louise R. Bailey, M.Ed., RN (Complainant) brings this Accusation solely in her		
21	official capacity as the Executive Officer of the Board of Registered Nursing, Department of		
22	Consumer Affairs.		
23	2. On or about April 19, 2006, the Board of Registered Nursing issued Registered Nurse		
24	License Number RN 677937 to Diana Emma Garcia (Respondent). The Registered Nurse		
25	License was in full force and effect at all times relevant to the charges brought herein and will		
26	expire on October 31, 2011, unless renewed.		
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Accusation

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JURISDICTION

3. This Accusation is brought before the Board of Registered Nursing (Board), Department of Consumer Affairs, under the authority of the following laws. All section references are to the Business and Professions Code unless otherwise indicated.

STATUTORY PROVISIONS

- 4. Section 2750 of the Business and Professions Code (Code) provides, in pertinent part, that the Board may discipline any licensee, including a licensee holding a temporary or an inactive license, for any reason provided in Article 3 (commencing with section 2750) of the Nursing Practice Act.
- 5. Section 2764 of the Code provides, in pertinent part, that the expiration of a license shall not deprive the Board of jurisdiction to proceed with a disciplinary proceeding against the licensee or to render a decision imposing discipline on the license. Under section 2811(b) of the Code, the Board may renew an expired license at any time within eight years after the expiration.

STATUTORY PROVISIONS

6. Section 2761 of the Code states:

The board may take disciplinary action against a certified or licensed nurse or deny an application for a certificate or license for any of the following:

- (a) Unprofessional conduct, which includes, but is not limited to, the following:
- (1) Incompetence, or gross negligence in carrying out usual certified or licensed nursing functions.

REGULATIONS

7. California Code of Regulations, title 16 (Regulation), section 1442 states:

As used in Section 2761 of the code, "gross negligence" includes an extreme departure from the standard of care which, under similar circumstances, would have ordinarily been exercised by a competent registered nurse. Such an extreme departure means the repeated failure to provide nursing care as required or failure to provide care or to exercise ordinary precaution in a single situation which the nurse knew, or should have known, could have jeopardized the client's health or life.

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FACTS

- 11. On April 18, 2008, Patient A, a 54 year old male, was hospitalized at Fountain Valley Regional Hospital with multiple chronic health issues. Exploratory surgery revealed that Patient A was suffering from an acute perforated gastric ulcer and carcinoma of the stomach. Patient A underwent two additional surgeries regarding these issues and was recovering in the ICU on April 28, 2008.
- 12. On April 30, 2008, at approximately 1500 hours, Patient A was transferred from the ICU to the medical/surgical floor at Fountain Valley Regional Hospital.
- 13. On May 1, 2008, Respondent was working as a registered nurse at Fountain Valley Regional Hospital and was assigned to provide nursing care to Patient A.
- 14. On May 1, 2008, at 0750 hours, Respondent performed an initial assessment of Patient A, however, her assessment was incomplete as she did not assess Patient A's gastrointestinal status.
- 15. On May 1, 2008, Patient A's Medication Administration Record showed a doctor's order for "Phenytoin 100mg/4ml PO SUSP [Dilantin] 1 x 4ml [25mg/ml] GT every 8 hours."
- 16. The Pyxis report for May 1, 2008, at 1728 hours, shows that Respondent removed a Dilantin 100mg/4 ml oral suspension syringe from the Pyxis machine to administer to Patient A. Respondent told Board investigators that the Dilantin oral suspension medication was orange, thick like cough syrup, and prior to this, she had not seen this type of medication.
- 17. On May 1, 2008 at approximately 1735 hours, Respondent began to administer the Dilantin oral suspension to Patient A through his IV. Patient A complained right away of burning while receiving the medication. Respondent disconnected the Dilantin syringe from the IV and connected another syringe with clear fluid to Patient A's IV. After Respondent injected the clear fluid, she reconnected the Dilantin syringe and administered the remaining Dilantin medication through Patient A's IV. Patient A complained that the lights hurt his eyes after receiving the Dilantin. Within minutes of receiving the Dilantin, Patient A became unresponsive, went into cardiopulmonary arrest and a code blue was initiated. Patient A was intubated, put on a ventilator and transferred to the ICU where he remained unresponsive.

- 18. On May 12, 2008, Patient A had a tracheostomy and a feeding tube was inserted.
- 19. On May 18, 2008, Patient A was transferred to a long-term care facility where he later expired.

FIRST CAUSE FOR DISCIPLINE

(Unprofessional Conduct: Incompetence)

- 20. Respondent is subject to disciplinary action for unprofessional conduct pursuant to Code section 2761(a)(1), on the grounds that on May 1, 2008, while Respondent was working as a registered nurse at Fountain Valley Regional Hospital, she was incompetent in her care of Patient A. The circumstances are set forth in detail in paragraphs 11 through 19, and as follows:
- a. Respondent was incompetent when she administered Dilantin oral suspension medication through Patient A's IV.
- b. Respondent was incompetent when she failed to verify Patient A's medication order for Dilantin oral suspension. Respondent admitted that she was confused about the order, unfamiliar with the colored medication, and had questions on how to administer Dilantin oral suspension by IV.
- c. Respondent was incompetent when she failed to verify the correct route of administering Dilantin oral suspension medication. Clarification was necessary in light of the fact that there were doctor's orders for Patient A to receive nothing by mouth, and the order for Dilantin was for an oral suspension syrup. Respondent should have realized this and been alerted to question the accuracy of the doctor's order.
- d. Respondent was incompetent when she threw away the syringe she used to administer Dilantin to Patient A. Due to the fact that a patient had an adverse reaction to medication and went into cardiac arrest, a competent nurse would keep the medication syringes for investigation and clarification.
- e. Respondent was incompetent when she failed to assess Patient A's gastrointestinal status during her initial assessment of Patient A on May 1, 2008.

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SECOND CAUSE FOR DISCIPLINE

(Unprofessional Conduct - Gross Negligence)

- 21. Respondent is subject to disciplinary action for unprofessional conduct pursuant to Code section 2761, subdivision (a)(1), in that on May 1, 2008, while Respondent was working as a registered nurse at Fountain Valley Regional Hospital, Respondent was grossly negligent when she failed to provide care to Patient A, or to exercise ordinary precaution in a single situation which Respondent knew, or should have known, could have jeopardized Patient A's health or life. The circumstances, which are set forth in detail in paragraphs 11 through 19 above, and as follows:
- a. Respondent was grossly negligent when she administered Dilantin oral suspension medication through Patient A's IV.
- b. Respondent was grossly negligent when she failed to verify Patient A's medication order for Dilantin oral suspension. Respondent admitted that she was confused about the order, unfamiliar with the colored medication, and had questions on how to administer Dilantin oral suspension by IV.
- c. Respondent was grossly negligent when she failed to verify the correct route of administering Dilantin oral suspension medication. Clarification was necessary in light of the fact that there were doctor's orders for Patient A to receive nothing by mouth, and the order for Dilantin was for an oral suspension syrup. Respondent should have realized this and been alerted to question the accuracy of the doctor's order.
- d. Respondent was grossly negligent when she threw away the syringe she used to administer Dilantin to Patient A. Due to the fact that a patient had an adverse reaction to medication and went into cardiac arrest, a competent nurse would keep the medication syringes for investigation and clarification.
- e. Respondent was grossly negligent when she failed to assess Patient A's gastrointestinal status during her initial assessment of Patient A on May 1, 2008.

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1 **PRAYER** WHEREFORE, Complainant requests that a hearing be held on the matters herein alleged, 2 and that following the hearing, the Board of Registered Nursing issue a decision: 3 Revoking or suspending Registered Nurse License Number RN 677937, issued to 1. 4 5 Diana Emma Garcia; 2. Ordering Diana Emma Garcia to pay the Board of Registered Nursing the reasonable 6 costs of the investigation and enforcement of this case, pursuant to Business and Professions 7 Code section 125.3; and 8 Taking such other and further action as deemed necessary and proper. 9 3. 10 11 DATED: 12 **Executive Officer** Board of Registered Nursing 13 Department of Consumer Affairs State of California 14 Complainant 15 16 SD2010703043 80474024.doc 17 18 19 20 21 22 23 24 25 26 27